

***United States Court of Appeals
for the Second Circuit***



**APPELLEE'S
APPENDIX**

*Original with Affidavit of
Mailing* 76-1100

UNITED STATES COURT OF APPEALS

FOR THE SECOND CIRCUIT

Docket No. 76-1100

UNITED STATES OF AMERICA,

Appellee,

-against-

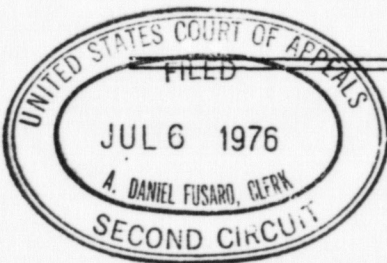
PAUL V. OATES,

Appellant.

ON APPEAL FROM THE UNITED STATES DISTRICT COURT

FOR THE EASTERN DISTRICT OF NEW YORK

GOVERNMENT'S APPENDIX



DAVID G. TRAGER,
United States Attorney,
Eastern District of New York.

PAGINATION AS IN ORIGINAL COPY

INDEX

	<u>PAGE</u>
Government's Exhibit #12 in evidence	1
Government's Exhibit #13 in evidence	1a-1b
Redacted Informant File of William C. McMillan	2-35

THE DEPARTMENT OF THE TREASURY
BUREAU OF CUSTOMS
U.S.A. C.R.

LABORATORY REPORT

Customs Form 6415(8,70)

9.1 lt

DATE 4-28-72	LAB NO. D 10066/67
-----------------	-----------------------

SAMPLE
SUSPECTED HEROIN SEIZURE

LABORATORY
NEW YORK NY

RECEIVED
4-27-72

TSUS NO.

SUBMITTED BY
CSO FROMKIN # 1061 ROCKAWAY OFFICE

PORT

ORIGIN

ENTRY NO.

IMPORTER

MAKER

MARKS

OTHER DATA
DEPT. ISAAC DANIELS DETROIT MICH
SZ# 72-24701-07296

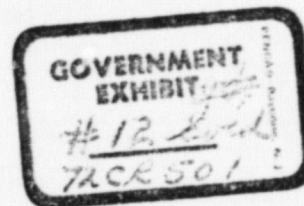
INFORMATION REQUIRED
IF CONTROLLED

The plastic bag contains heroin hydrochloride (36.5%) admixed with lactose.

Net weight received 495 grams

Net weight returned 485 grams

~~Received from and returned to CSO Fromkin~~



SIGNATURE OF CHEMIST
M. WEINBERG

SIGNATURE OF CHIEF CHEMIST
R. E. LANG

-1a-

THE DEPARTMENT OF THE TREASURY
BUREAU OF CUSTOMS
U.S. C.R.

Customs Form 6415(8/70)

9.1 j1

LABORATORY REPORT

D10066

D10066

DATE
APR 28 1972

LAB NO.

SAMPLE

SEIZURE

Suspected Heroin

LABORATORY

RECEIVED

APR 28 1972

TSUS NO.

SUBMITTED BY

CSO FROMKIN # 1061 ROCKAWAY. OFFICE

PORT

ORIGIN

ENTRY NO.

IMPORTER

DEDT. ISAAC DANIELS DETROIT. MICH.

MAKER

MARKS

SZ. # 72-24701-07296

OTHER DATA

GOVERNMENT
EXHIBIT

INFORMATION REQUIRED

IF CONTROLLED

The ~~sample~~ *Pinkish bag* contains *heroin hydrochloride (36.5%) admixed*
with lactose

Net weight received 495 grams

Net weight returned 485 grams

Received from and returned to ~~CSO~~ CSO FROMKIN

DMW

gylchap

APR 28 1972

RI

Read in room by + inches, plus by + paper
print

Mass 5091

20

489

+ 6 g (in print)

495g

the 20g plate
Tested

$$\text{Beta}(-10g) = 475$$

1b-

in and Major + 14H₂ from
Zep +

NIP + 200-2C

Fall got (C₂) + Summer

Longy - C. small an -

C14E₂ out

S = 0.5/105

55.3790

55.1925

186.5 x 100
5105

= 36.5%

R₁ 1722 (g = 14.5g out) = known HCl

Standard Form No. 1163
Form prescribed by
Comptroller General, U. S.
March 19, 1932
General Regulations No. 103

RECEIPT FOR CASH—SUBVOUCHER

SUBVOUCHER No. _____

(To be used when invoice is not available)

DATE May 24 1934

Received in cash from Frederick W. Ford

One Thousand, Five Hundred Dollars and 00 (\$ 1,500.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered in	1,500.00

Vendor Bur of Narcotics & Dan. Drugs

Address 90 Church Street - Room 605

New York, New York - 10007

PURPOSE (Project, etc.) _____

By [Signature]
(Signature of Vendor/Agent)

Title _____
(DO NOT SIGN IN DUPLICATE)

APPROPRIATION AND ACCOUNTING CLASSIFICATION _____

Standard Form No. 1165
Form prescribed by
Comptroller General, U.S.
March 10, 1952
General Regulations No. 103

RECEIPT FOR CASH—SUBVOUCHER

(To be used when invoice is not available)

SUBVOUCHER No. _____

DATE 1/15/57

Received in cash from Frederick W. Ford

Five Hundred Dollars and 00 (\$500.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered in case	500.00

Vendor Bur. of Narcotics & Dangerous Drugs

Address 90 Church Street - Room 605
New York, New York 10007

By William L. McMillan
(Signature of Vendor/Agent)

Title _____
(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.) _____

APPROPRIATION AND ACCOUNTING CLASSIFICATION _____

Standard Form 1165
7 GAO 5100
1165-105

RECEIPT FOR CASH—SUBVOUCHER

(To be used when invoice is not available)

SUBVOUCHER No. _____

DATE Jan 16, 1957

Received in cash from Frederick W. Ford

One Hundred Dollars and 00 (\$100.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered	100.00

Vendor Bur of Narcotics & Dangerous Drugs

Address 90 Church St-Rm 605

New York, New York 10007

By William L. McMillan
(Signature of Vendor/Agent)

Title _____
(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.) _____

APPROPRIATION AND ACCOUNTING CLASSIFICATION _____

Received in cash from Frederick W. Ford
One hundred dollars and 00 (\$100.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	For services rendered	100.00

Vendor Bur of Narcotics & Dangerous Drugs
90 Church St., New York, N.Y. 10007

Address Frederick W. Ford

By William L. McMillan
(Signature of Vendor/Agent)

Title _____
(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.) _____

Standard Form 1165
7 GAO 5100
1165-105

RECEIPT FOR CASH—SUBVOUCHER

SUBVOUCHER No. _____

(To be used when invoice is not available)

DATE 2/13/70

Received in cash from

Frederick Ford, Special Agent

Seventy dollars

and 00
100

(\$ 75.00)

for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	For services rendered in connection with case	75.00

Vendor BI000 90 Church St,
New York, N.Y.

Address

Frederick Ford

By

(Signature of Vendor/Agent)

Title

(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Standard Form No. 1165
Form prescribed by
Comptroller General, U. S.
March 10, 1952
General Regulations No. 103

RECEIPT FOR CASH—SUBVOUCHER

(To be used when invoice is not available)

SUBVOUCHER No. _____
DATE 1-18-24/1976

Received in cash from Frederick W. Ford

Five Hundred Dollars and 00 (\$500.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered in case .	500.00

Vendor Bur. of Narcotics & Dangerous Drugs
Addr. 90 Church Street - Room 605
New York, New York 10007

By [Signature]
(Signature of Vendor/Agent)
Title _____
(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.) _____

APPROPRIATION AND ACCOUNTING CLASSIFICATION _____

Standard Form No. 1165
Form prescribed by
Comptroller General, U. S.
March 10, 1952
General Regulations No. 103

RECEIPT FOR CASH—SUBVOUC'

(To be used when invoice is not available)

SUBVOUCHER No.

DATE 7/15/70

Received in cash from Frederick W. Ford

Five Hundred Dollars and 00 (\$ 500.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered in	500.00

16-65269-1 GPO

Vendor Bur of Narcotics & Dangerous Drugs

90 Church Street - Room 605

Address

New York, New York 10007

By (Signature of Vendor/Agent)

Title (DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Wcc: [unclear]
ARD 2
SP-5
[unclear]

Recd 3/27/70
5:15 PM

LS7158 EVEJE

RAAUIJAZ RUEVFBQ0275 0862206-CCCC--RUEVEJE.
JNDD
FM BNDD HQS WASH DC
TO BNDD NEW YORK
BT
YOUR REQUEST TO PAY THE SUM OF \$500 TO IS
CONFIRMED.

GEORGE M. BELX, ENFC

BT

Informant
File *BY*

NNNN
LS7158 EVEJE

P

UNITED STATES GOVERNMENT

Memorandum

DEPARTMENT OF JUSTICE

2
ARD-2-7
GP-5-2

TO : Mr. William J. Durkin
Regional Director
New York Regional Office

DATE: July 21, 1970

FROM : John R. Enright
Chief of Operations

SUBJECT: Cash Payment to Case File

Based on telephone conversation between Acting Regional Director Maher and Mr. Hinds, ENFC, relating to this informant's reluctance to accept payment by check, I approve payment of \$1,500 in cash for services performed.

John R. Enright

c.c. - 100 file

BND FORM 6 (REV. 2-70)

UNITED STATES DEPARTMENT OF JUSTICE BUREAU OF NARCOTICS AND DANGEROUS DRUGS REPORT OF INVESTIGATION		FILE NUMBER
		FILE TITLE Informant File
IDENTIFIER	PROGRAM CODE	
REPORTED BY Special Agent Frederick W. Ford	OTHER OFFICERS	STATUS
DATE July 23, 1970		<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> OTHER OFFICE ACTION REQUESTED <input type="checkbox"/> REQUESTED ACTION COMPLETED <input type="checkbox"/> CLOSED
AT New York, New York		PAGE 1 OF 2

REPORT RE: Cooperation of - Status Report

Reference is made to Special Agent Frederick W. Ford's memorandum report dated April 4, 1970, relative to the cooperation of [redacted] and all previous reports regarding

[redacted] volunteered to cooperate with BNDD. He made it clear that he was working for monetary gains. As a result of his cooperation, three investigations were initiated and one case, with four defendants which has been completely developed,

On January 5, 1970, when [redacted] volunteered his service as an informant, for BNDD, he told Agents of this Bureau that he had two New York State cases pending, one for burglary and the other for possession of heroin. On May 21, 1970, [redacted] pleaded guilty to criminal possession of a Dangerous Drug, class D. felony before Judge Irwin Brownstein at Brooklyn, New York. On the same day, May 21, 1970, Judge Brownstein gave [redacted] a conditional discharge and closed this case. The burglary case is still pending.

is a paid-informant and he has been paid \$2,775.00 to date.

SIGNATURE (Agent) <i>Frederick W. Ford</i>	APPROVED <i>Bruce E. Jensen</i> Group Supervisor, Bruce E. Jensen
--	--

Headquarters: 2cc
Region #2 Informant

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PROSECUTOR - COPY

Dist 8-3-70, etc

On one occasion
and Paul OATS told me
of how much money could be made selling narcotics in the Detroit,
Michigan area and suggested that we supply them with narcotics.

Informant File

BND FORM 6 (REV. 2/70)

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF NARCOTICS AND DANGEROUS DRUGS

REPORT OF INVESTIGATION

FILE #

FILE

Informant File

IDENTIFIER

PROGRAM CODE

REPORTED

BY Special Agent
Frederick W. Ford
DATE August 14, 1970
AT New York, New York

OTHER OFFICERS

STATUS

- ☒ ACTIVE
☐ OTHER OFFICE ACTION
REQUESTED
☐ REQUESTED ACTION
COMPLETED
☐ CLOSED

PAGE 1 OF 2

REPORT

On August 12, 1970, Special Agent Frederick W. Ford debriefed who supplied the following information relative to narcotics traffickers in other areas who have sources of supply in the New York area.

Paul OATS - Phone 313 - 834-5882

SIGNATURE (Agent)

Special Agent Frederick W. Ford

PROVER (Name and Title)

Group Supervisor Bruce Jensen

Headquarters: ENFC 2cc
Regions: 4, 5, & 6... 2cc each
Region #2 Informant

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dot 8/19/70

CONFIDENTIAL

George M. Kelly, Chief, Criminal
Investigations Division, FBI

August 13, 1970

William J.arkin
Regional Director

Informant Briefing -

- Supplied the following on traffickers who have
sources of supply in New York.

Paul OATS

Phone: 313-834-5881

CONFIDENTIAL

BEST COPY AVAILABLE

BND FORM 6 (REV. 7-70)

REPORT OF INVESTIGATION

PAGE 1 OF 2

FILE TITLE Informant File		IDENTIFIER	FILE NUMBER
		PROGRAM CODE	
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION COMPLETED <input type="checkbox"/> ACTION REQUESTED FROM		OTHER OFFICERS	CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Special Agent AT Frederick W. Ford DATE New York, New York October 20, 1970			
REPORT RE: Status, relative to the cooperation of			

is hereby d

is a paid in
past 60 days, however, he h
Bureau of Narcotics and Dange

REGION / #2 informant file.....X	SIGNATURE (Agent) <i>[Signature]</i>
DISTRICT <i>Ent. New York</i> — 120	Special Agent Frederick W. Ford
OTHER Headquarters ENFC:.....?cc	APPROVED (Name and title) <i>[Signature]</i> Group Supervisor Bruce E. Jensen
<i>1.7. 10/20/70</i>	DATE <i>10/20/70</i>

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Bureau of Narcotics and Dangerous Drugs
Department of Justice

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UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Jerry Jenson
Acting Regional Director

DATE: October 22, 1970

FROM : Mr. Bruce E. Jensen
Group Supervisor, Group #5

SUBJECT:

X has just finished testifying in Court,
relative to case. His testimony was instrumental
in convicting all defendants for sale of narcotics and
conspiracy. These defendants are
considered dangerous.

I have previously discussed this problem with you, and
inquired into the feasibility of paying the moving expenses of

At that time you instructed me to ascertain
what financial burden would be imposed upon this Bureau to
accomplish this so that you could make a decision in this matter.

Attached hereto are two documents submitted by the SCI.
One is an estimate of the cost of moving the SCI's household
effects in the amount of \$267.50, the other is a lease agreement
reflecting monthly payments of \$170.00 per month.
advises that to consummate the lease he will be required to put
two months rent in escrow and pay one months rent in advance,
an additional total of \$510.00. Therefore, it would cost the
Bureau a total of approximately \$777.50 to relocate

Bruce E. Jensen
Bruce E. Jensen
Group Supervisor, Grp. #5

cc: above



5010-108

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

BND FORM 6 REV. 7-70

REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE		IDENTIFIER	FILE NUMBER
Informant File		PROGRAM CODE	
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> ACTION REQUESTED <input type="checkbox"/> FROM	<input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> COMPLETED	OTHER OFFICERS	CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Albert L. Parrish Jr., S/A AT New York, New York DATE December 24, 1970			RELATED FILES
REPORT RE: Status relative to the cooperation of			

On December 30, 1969, volunteered to cooperate with BNDD in initiating and developing cases against major narcotics traffickers. He made it clear that he was working for monetary gains. AS a results of his cooperation, three investigations were initiated. One case, has been completely developed and prosecuted.

was paid \$777.50 to relocate to avoid possible reprisals for his cooperation with the Bureau of Narcotics and Dangerous Drugs, in .

is hereby declared active has been paid a total of \$3,552.50 by the Bureau of Narcotics and Dangerous Drugs for service rendered.

is awaiting sentence in a pending New York State case for accepting stolen property. This case is a misdemeanor.

REGION #2 Informant Files.....X

DISTRICT

OTHER Headquarters ENFC.....2cc

100-

12/29/70 F.J.

SIGNATURE		DATE
<i>Albert L. Parrish Jr.</i> Albert L. Parrish Jr., Special Agent		
APPROVE <i>Bruce L. Hansen</i> Bruce L. Hansen, Group Supervisor		<i>12/29/70</i>

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Department of Justice

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END FORM 6 (REV. 7-70)

REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE Informant File		IDENTIFIER	FILE NUMBER
		PROGRAM CODE	
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> ACTION REQUESTED FROM <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION COMPLETED	OTHER OFFICERS		CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Frederick W. Ford, S/A AT New York, New York DATE February 24, 1971		RELATED FILES	
REPORT RE: Status relative to the cooperation of			

is a voluntary informant.

he was responsible for the initiation of three investigations, of which one case, with four defendants, has been completely developed and prosecuted.

is a paid informant and he has been paid a grand total of \$3,552.50 by Bureau of Narcotics and Dangerous Drugs. However, he has not been paid any money in the past 60 days.

is awaiting sentence in a pending New York State case for accepting stolen property. This is a misdemeanor.

REGION	INFORMANT FILE	4cc	SIGNATURE (Agent)	<i>[Signature]</i>
DISTRICT	<i>[Handwritten]</i>	1cc	Frederick W. Ford, Special Agent	
OTHER	ENPC	2cc	APPROVED (Name and title)	<i>[Signature]</i>
	<i>[Handwritten]</i>	1cc	Bruce E. Jensen, Group Supervisor	DATE <i>2/25/71</i>

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Department of Justice

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X

183144
183144 E/FBQ

cc - Inf. Files
cc - Fiscal

Sent 3/15/71
5:04 p.m.

RAAUIJAZ RUEVEJE0065 0742200-CCCC--RUEVFBQ.
JNDD
FM BNDD NEW YORK
TO BNDD HQS WASHDC ATTN: MR. MONASTERO, FP
BT

INFORMANT RELOCATION EXPENSES

INCURRED

THE FOLLOWING

\$ 777.50

BND FORM 6 (REV 7/70)

REPORT OF INVESTIGATION

PAGE 1 / OF 1

FILE TITLE		IDENTIFIER	FILE NUMBER
		PROGRAM CODE	
COOPERATING INDIVIDUAL			
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> ACTION REQUESTED FROM <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION COMPLETED	OTHER OFFICERS		CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Special Agent AT Frederick W. Ford DATE New York, New York April 26, 1971			RELATED FILES
REPORT RE: Status Relative to the Cooperation of			

DETAILS:

- Reference is made to Special Agent Frederick W. Ford's memorandum report dated February 24, 1971, relative to the cooperation of
- is a voluntary informant.
- not initiated any cases since the date of the above memorandum report, however, he was responsible for the initiation of three investigations, of which one case, , has been completely developed and prosecuted.
- is a paid informant and he has been paid a grand total of \$3,552.00 by BNDD. However, he has not been paid any money in the past 60 days.
- is awaiting sentence in a pending New York State case for accepting stolen property. This is a misdemeanor.

REGION	ENFC.....lcc	SIGNATURE (Agent)	
DISTRICT	RIU.....lcc	Special Agent Frederick W. Ford	
OTHER	Files.....xcc	APPROVED (Name and Title)	DATE
		Group Supervisor Ronald J. Caffrey	5/3/71

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END FORM 51 REV. 7/70

REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE

SC1-0-0002

IDENTIFIER

FILE NUMBER

PROGRAM CODE

COOPERATING INDIVIDUAL

☒ ACTIVE ☐ CLOSED ☐ REQUESTED ACTION
☐ ACTION REQUESTED FROM ☐ COMPLETED

OTHER OFFICERS

CROSS
FILE

RELATED FILES

BY Special Agent
AT M.J. Steinberg
New York, N.Y.
DATE July 2, 1971

REPORT RE:

Status Relative to the Cooperation of

DETAILS:

1. Reference is made to Special Agent Frederick W. Ford's memorandum report dated April 26, 1971, relative to the cooperation of
2. is a voluntary informant.
3. has not initiated any cases since the date of the above memorandum report; however, he was responsible for the initiation of three investigations, of which one case, , has been completely developed and prosecuted.
4. is a paid informant and he has been paid a grand total of \$3,55200 by BNDD. However, he has not been paid any money in the past 60 days.
5. was granted a conditional discharge relative to his New York State misdemeanor case.
6. has been interviewed by Group Supervisor Frederick W. Ford within the past 60 days.
7. It is requested that the utilization of be continued.

REGION ENFC.....ICC
DISTRICT RIU.....lcc
Files.....xcc

OTHER

dist- 7-18-71

SIGNATURE (Agent)

M.J. Steinberg, Special Agent

APPROVED (Name and title)

Frederick W. Ford, Group Supervisor

DATE

7/2/71

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Department of Justice

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BNDD FORM 100-100-100

REPORT OF INVESTIGATION

PAGE 1 OF

FILE TITLE		IDENTIFIER	FILE NUMBER
		PROGRAM CODE	
		Cooperating Individual	
<input type="checkbox"/> ACTIVE <input type="checkbox"/> ACTION REQUESTED <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION COMPLETED <input type="checkbox"/> FROM	OTHER OFFICERS		CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Garfield Hammonds Jr. AT New York, New York DATE September 7, 1971		RELATED FILES	

REPORT RE:

Status relative to the cooperation of

DETAILS:

- Reference is made to G/S Frederick W. Ford's memorandum dated July 2, 1971, relative to the cooperation of
- S-CI-0-0002 is a Class 1 voluntary informant.
- has not initiated any cases since April 26, 1971;
- was debriefed by G/S Frederick Ford during the 60 day period.
- is a paid informant and has been paid a grand total of \$3,552.00 by BNDD. However, the CI has not recieved any money in the last 120 days.
- It is requested that the utilization of be continued.

REGION #2, Informant Files - xxx cc	SIGNATURE (Agent)	
DISTRICT RIU ---- 1 cc	Garfield Hammonds Jr.	
OTHER BNDD, EXNEC	APPROVED (Name and Title)	DATE
Act 9-17-71	Frederick W. Ford, Group Supervisor	9/9/71

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Department of Justice

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BND FORM 100-1001

REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE

IDENTIFIER

FILE NUMBER

PROGRAM CODE

Cooperating Individual

☒ ACTIVE ☐ CLOSED ☐ REQUESTED ACTION
☐ ACTION STOPPED ☐ COMPLETED
☐ FROM

OTHER OFFICES

CROSS
FILE

RELATED FILES

BY Garfield Hammonds Jr.
 AT New York, New York
 DATE November 2, 1971

☐
☐
☐
☐
☐

REPORT RE:

Cooperating Individual status for

60-Day Status Report - November

DETAILS:

1. Reference is made to S/A Garfield Hammonds' memorandum dated September 7, 1971 relative to the cooperation of

ending for
 # 11/10/71

5. was debriefed by G/S Frederick W. Ford during the 60 day period.
6. is a paid informant and has received a grand total of \$3,752.00 paid by BND. The CI received \$200.00 during the last 60 day period.
7. It is requested that the utilization of be continued.

REGION

DISTRICT

OTHER

SIGNATURE (Agent)

Garfield Hammonds Jr., Special Agent

APPROVED (Name and title)

Frederick W. Ford, Group Supervisor

DATE

11/10/71

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 Department of Justice

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REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE		IDENTIFIER	FILE NUMBER
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION COMPLETED <input type="checkbox"/> ACTION REQUESTED FROM		PROGRAM CODE Cooperating Individual	
BY S/A Garfield Hammonds Jr. AT New York, New York DATE December 7, 1971		OTHER OFFICERS	CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

REPORT RE:

60-Day Status Report - December

DETAILS:

- Reference is made to S/A Garfield Hammonds' memorandum dated November 7, 1971 relative to the cooperation of
-
-
-
- was debriefed by G/S Frederick W. Ford during the 60 day period.
- is a paid informant and has received a grand total of \$3,752.00 paid by BNDD. The CI received \$200.00 during the last 60 day period.
- It is requested that the utilization of be continued.

REGION	SIGNATURE (Agent)	
DISTRICT	<i>Garfield Hammonds Jr.</i>	
OTHER	Garfield Hammonds Jr., Special Agent	
	APPROVED (Name and title)	DATE
	<i>Frederick W. Ford</i>	12/9/71
	Frederick W. Ford, Group Supervisor	

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Department of Justice

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END FORM 6 (REV. 7/74)

REPORT OF INVESTIGATION

PAGE 1 OF

FILE TITLE		IDENTIFIER	FILE NUMBER
		PROGRAM CODE	
		Cooperating Individual	
<input type="checkbox"/> ACTIVE <input type="checkbox"/> ACTION REQUIRED FROM: <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUIRED ACTION COMPLETED	OTHER OFFICERS		CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Garfield Hammonds Jr. AT Special Agent DATE New York, New York 2/1/71			
REPORT RE: 60 Day Status-February			

DETAILS:

- Reference is made to S/A Garfield Hammonds memorandum dated December 7, 1971 relative to the cooperation of
-
-
- During the last two months several attempts have been made to contact with negative results. no longer resides at his previous address and all attempts to determine his present abode has proved abortive. has not contacted Region 2 during this 60 day period.
- was not available for debriefing during the 60 day period.
- is a paid informant and has received a grand total of \$3,752.00 paid by BNDD.
- has not received any funds during the last 120 days.
- Due to non cooperation is requested to be deactivated.

REGION	SIGNATURE (Agent) <i>Garfield Hammonds Jr.</i> Garfield Hammonds Jr.
DISTRICT	APPROVED (Name and title) <i>Frederick W. Ford</i> Frederick W. Ford, Group Supervisor
OTHER	DATE

OFFICIAL USE ONLY

Bureau of Narcotics and Dangerous Drugs
Department of Justice

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January 20, 1975

Mr. Arnold Taub
Chief of Narcotics Bureau
Brooklyn District Attorney's Office
Municipal Building
Room 506
Brooklyn, New York 11201

Reference: William C. McMillan

Dear Mr. Taub:

Reference is made to a telephone conversation between Mr. Andrew Carson, Assistant District Attorney, and Group Supervisor Frederick W. Ford, Region 2, Drug Enforcement Administration. Group Supervisor Ford informed Mr. Carson that William C. McMillan had rendered very satisfactory service for Drug Enforcement Administration in the past, and he has agreed to cooperate with Drug Enforcement Administration again in initiating cases against major narcotic traffickers.

At the present time, William C. McMillan is incarcerated at the Brooklyn House of Detention on a narcotics charge. His bond is \$15,000.00.

It would be appreciated if consideration would be given to reducing McMillan's bond to \$5,000 FOR.

Thank you for your consideration in this matter.

Very truly yours,

Carl L. Jackson
Assistant Regional Director

cc: 10 Cus
alxve
12 JLV

12/TWY/aw/5015

BEST COPY AVAILABLE

January 22, 1975

Mr. Arnold Taub
Chief of Narcotics Bureau
Brooklyn District Attorney's Office
Municipal Building
Room 506
Brooklyn, New York 11201

Reference: William C. McMillen

Dear Mr. Taub:

Reference is made to my letter to you dated January 20, 1975 in which I stated that it would be appreciated if consideration would be given to reducing McMillen's bond to \$5,000.00 FOR.

Since the writing of my previous letter, I have learned that New York State does not have a system by which prisoners are released on their personal recognizance. Therefore, it would be appreciated if McMillen's bond would be reduced to \$5,000.00, with the \$500.00 to be paid in cash.

Thank you for your consideration in this matter.

Very truly yours,

Carl L. Jackson
Assistant Regional Director

above
cc: 10
12

12/FWP/ae/5015

Page 2

ity of the charges against Mr. McMillan are fully realized by this however, we feel that his assistance could be of substantial value to enforcement. Mr. McMillan has been advised that the only way for his cooperation would be that your office would be made aware of his productivity. Review of his arrest record gives no indication of Mr. McMillan's fleeing to avoid prosecution.

It would be appreciated that consideration be given to reducing McMillan's bail to \$750.00 cash which he indicated that he could meet. for your consideration.

Very truly yours,

Robert Nickoloff
Acting Regional Director



UNITED STATES DEPARTMENT OF JUSTICE
DRUG ENFORCEMENT ADMINISTRATION

555 West 57th Street Suite 1900
New York, New York 10019

July 11, 1975

Mr. Arnold Taub
Chief of Narcotics Bureau
Brooklyn District Attorney's Office
Municipal Building
Room 506
Brooklyn, New York 11201

Reference: William C. McMillan

Dear Mr. Taub:

On October 29, 1974, William C. McMillan was arrested by the New York City Police Department for Criminal Sale and Possession of a Control Substance (PL220.43 and PL220.21, respectively), and has been incarcerated since that date. It is our understanding he is the only defendant in the case still incarcerated and that his present bail is set at \$7,600.00 cash/surety.

Mr. McMillan has provided information to this office in the past which

of heroin and the arrest of five defendants. His testimony in Federal Court was instrumental in the conviction of several of the defendants and it is anticipated that he will appear as a Government witness in a pending case. Additionally, he has provided information regarding noted narcotic traffickers in the Metropolitan New York area as well as the Detroit, Michigan and Atlanta, Georgia areas.

Mr. McMillan has conferred with Group Supervisor Frederick W. Ford and Special Agent Vincent Velotta recently and expressed a willingness to again cooperate with the Drug Enforcement Administration. He has already provided information resulting in the tentative identification of a defendant in an ongoing investigation in which several controlled substance exhibits were purchased including 115 grams of cocaine. Mr. McMillan also has provided intelligence information which should lead to the immediate initiation of four separate investigations of documented narcotic traffickers in the over one-half kilogram level. It should be noted that Mr. McMillan's assistance would be essential in the introduction of undercover agents to prospective defendants in these anticipated investigations.

10-20-71 William McMillan

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11-1-71 William McMillan

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON, D.C. 20537

—IDENTIFICATION DIVISION—

2.

The following FBI record, NUMBER 579 590 A is furnished FOR OFFICIAL USE ONLY.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
PD NY NY	William C. McMillan #216918	6-21-67	1. 1751 PL unconditional Possession Heroin disch. 2. burg	
NYC Recept Class Center East Elmhurst NY	William McMillan #368-572	2-2-68	unauthorized use \$200 or 60 ds of veh	
PD NY NY	William C. McMillan #216918	6-3-68	PL 220.15-1 D fel crim poss jang drug - 2nd	
PD NY NY	William McMillan #B 216 918	9-27-68	PL.170.25-D fel-0-crim poss Fg inst-2nd	
PD NY NY	William McMillan #B216-918	12-10-71	PL 12-.05-02 Aslt 2	
PD NY NY	William McMillan SID 3783415N	11-6-74	PL 220.43 crim sale cont subt	

Information shown on this Identification Record represents data furnished FBI by fingerprint contributors. Where final disposition is not shown or further explanation of charge is desired, communicate with agency contributing those fingerprints.

Notations indicated by * are NOT based on fingerprints in FBI files but are listed only as investigative leads as being possibly identical with subject of this record.

-31-
UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON, D.C. 20537

7/31

-IDENTIFICATION-DIVISION-

The following FBI record, NUMBER 579 590 A, is furnished FOR OFFICIAL USE ONLY.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
FD NYC NY	Willie Charles McMillan #B-216918	6-14-43	GL #22	
PD NYC NY	Willie Charles McMillan #B-216918	12-6-50	Forg.	
Prob Dept Kings Co Crs Brooklyn NY	Willie McMillan #--	12-4-50	forg 2 PL	pleads gilty to PL 2-23-51
DSH Brooklyn NY	William McMillan #27721	6-21-60	poss of counterfeit money	
USNS NY ME	William McMillan #J-1-8-CO-1840-1	5-21-60	poss & passing oft notes	2 yrs Imprison
2D NY NY	William McMillan #216918	12-22-60	fel aslt (knife) 240 PL	
Fed Det Hqtrs NY NY	William McMillan #H16888	10-26-61	E-NY: poss counterfeit money	3 yrs M Ab USDJ
Navy	Willie Charles McMillan	8-30-43	1-1308 PL 2-426 VTL false statement	
PD NY NY	William McMillan #216918	5-2-67	1-1308 PL Crim rec 2-426 VTL false statement 3-319 VTL unins. oper	

Information shown on this Identification Record represents data furnished FBI by fingerprint contributors. Where final disposition is not shown or further explanation of charge is desired, communicate with agency contributing those fingerprints.

Notations indicated by * are NOT based on fingerprints in FBI files but are listed only as investigative leads as being possibly identical with subject of this record.

VOUCHER FOR PURCHASE OF INFORMATION
AND PURCHASE OF EVIDENCE

PAID BY

1. Agency ENDD, REGION 2, NEW YORK
2. Name of claimant Frederick W. Ford, Special Agent
3. Address 90 Church St., New York, N.Y.
4. This Voucher Being Used For (Check one):

☒ Payment to operating individual ☐ Payment of Reward ☐ Purchase of Evidence

5. REMARKS: This payment is made to to relocate to avoid possible
prisons for his cooperation with ENDD. testified in Federal
Court, which resulted in the conviction of defendants.

6. CERTIFICATION OF COOPERATING INDIVIDUAL (Check one)

- a. ☒ I certify I received payment in the amount \$ 777.50 for purposes as stated above.
- b. ☐ I certify that I rendered services as stated above for which payment thereof has not been received.

(Sign Copy 3 only)
(Identification no.)

7. I certify that payment of \$ 777.50 was made for services or evidence as stated above and that credit has not been received.

(Sign original only)

a. Type name of Special Agent Frederick W. Ford

b. Approval of Supervisor

(Type name)

Bruce E. Jensen, G/S

8. Approval by Bureau when required

9. Accounting Classification

10. Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment in the amount of \$ 777.50

(Sign original only) BENJAMIN TRUGLIO

(Type name of authorized certifying officer)

date 11/5/70

11. Paid by Check No. _____

12. Received in Cash, \$ 777.50

Signature of Claimant

date

DEPARTMENT OF JUSTICE
BUREAU OF NARCOTICS & DANGEROUS DRUGS
Form 103 (6/70)

VOUCHER FOR PURCHASE OF INFORMATION
AND PURCHASE OF EVIDENCE

Voucher No. _____
Schedule No. _____

PAID BY _____

1. Agency U.S. Dept Justice Bureau Narcotics Dangerous Drugs

2. Name of claimant Garfield Hammonds Jr.

3. Address Region 2, New York, N.Y.

4. This Voucher Being Used For (Check one):

☒ Payment to Cooperating Individual

☐ Payment of Reward

☐ Purchase of Evidence

5. REMARKS. Payment to Cooperating Individual relative to information and participation in the development and initiation of and participation in the purchase of Exhibits #1, #2, #3 from Above Case Number. On 9-10-71 at New York New York.

6. CERTIFICATION OF COOPERATING INDIVIDUAL (Check one)

a. ☒ I certify I received payment in the amount \$ 200.00 for purposes as stated above.

b. ☐ I certify that I rendered services as stated above for which payment thereof has not been received.

(Sign Copy 3 only)
(Identification no.)

William McVillan

date 9-10-71

7. I certify that payment of \$ 200.00 was made for services or evidence as stated above and that credit has not been received.

(Sign original only)

date 9-10-71

a. Type name of Special Agent

Garfield Hammonds Jr.

b.

Approval of Supervisor
(Type name)

Frederick W. Ford

date 9-10-71

8. Approval by Bureau when required

9. Accounting Classification

10. Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment in the amount of \$ _____

(Sign original only)

date _____

(Type name of authorized certifying officer)

11. Paid by Check No. _____

12. Received in Cash, \$ _____

Signature of Claimant

date _____

See instructions on reverse
before completing.

Voucher No. _____
Schedule No. _____

VOUCHER FOR PAYMENT FOR INFORMATION AND PURCHASE OF EVIDENCE

PAID BY

Drug Enforcement Administration - New York Region Office

1. Originating Office _____

2. Name of Claimant _____

3. This Voucher is being used for:

- ☒ Payment to Cooperating Individual
☐ for Information
☐ for Reward
☒ for Expenses
☐ Purchase of Evidence

Source of Funds

- ☒ Regional funds
☐ Central funds
☐ LEAA funds

G-DEP Identifier(s)

\$ 50.00

Case Number(s)

4a. Purchase of DRUG Evidence

☐ Drug Code
(See Reverse)

OR

4b. NON-DRUG Evidence (Describe Purchase)

Quantity
(Same as DEA-7)

4c. Date and Time of Purchase

4d. Place of Purchase

4e. Purchased from (Name)

CERTIFICATION OF COOPERATING INDIVIDUAL (Check one)

5. ☒ I certify I received payment in the amount of \$ *50.00 for purposes as stated above.
☐ I certify that I rendered services as stated above for which payment thereof has not been received.
☐ I certify that I have received monies in the amount of \$ _____ to be utilized only for the purchase of evidence, that any unused funds shall be returned to the Government upon demand and that misappropriation will render me liable for prosecution.

IDENTIFICATION NO.

(Sign LAST Copy Only) William McCallum date 10/13/75

6. I certify that payment of \$ *50.00 was made for services or evidence as stated above and that credit has not been received.

a. PAYER NAME:

(sign original only)

date 10/ /75

(type name) SA Vincent A. Witta

b. WITNESS NAME:

(sign original only)

date 10/ /75

(type name) SA Fred Eisele

c. APPROVING SUPERVISOR
NAME

(signature)

date 10/ /75

(type name) GS Frederick W. Ford

7. APPROVING HEADQUARTERS OFFICIAL WHEN REQUIRED

ACCOUNTING CLASSIFICATION								Amount
Appropriation or Fund Code	Cost Center	B/A	Control Number	Program	Project (Task Force)	Object Class		
								\$
								\$

9. Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment in the amount of \$ _____

Name of Authorized
Certifying Officer

(sign original only)

date

(type name)

10. PAID BY CHECK NO.

11. Received in Cash, \$ _____

(signature of claimant)

date

RECORD OF PAYMENTS

[illegible]

AFFIDAVIT OF MAILING

STATE OF NEW YORK
COUNTY OF KINGS
EASTERN DISTRICT OF NEW YORK

ss
EVELYN COHEN

being duly sworn,

deposes and says that he is employed in the office of the United States Attorney for the Eastern District of New York.

That on the 25th day of June 1976 he served two copies of the within

GOVERNMENT'S APPENDIX

by placing the same in a properly postpaid franked envelope addressed to:

Talbot, Grant & McQuarrie, Esqs.
139 Cadillac Square
Detroit, Michigan 48226

and deponent further says that he sealed the said envelope and placed the same in the mail chute drop for mailing in the United States Court House, 225 Cadman Plaza East, Borough of Brooklyn, County of Kings, City of New York.

Sworn to before me this

25th day of June 1976

Carolyn N. Johnson